



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 18, 2000

PTAS

WOODARD, EMHARDT, NAUGHTON, ET AL
DOUGLAS A. COLLIER
111 MONUMENT CIRCLE, SUITE 3700
BANK ONE CENTER/TOWER
INDIANAPOLIS, INDIANA 46204-5137



101266379A



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/12/2000

REEL/FRAME: 010536/0577
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZDEBLICK, THOMAS A.

DOC DATE: 11/22/1999

RECEIVED

APR 24 2000

ASSIGNOR:

HERKOWITZ, HARRY N.

DOC DATE: 11/23/1999

Woodard, Emhardt, Naughton,
Moriarty & McNett

ASSIGNOR:

NEEDHAM, DUSTY ANNA

DOC DATE: 11/11/1999

ASSIGNOR:

POYNER, JEFFREY WADE

DOC DATE: 11/11/1999

ASSIGNEE:

SDGI HOLDINGS, INC.
300 DELAWARE AVENUE, SUITE 508
WILMINGTON, DELAWARE 19801

SERIAL NUMBER: 09417402

PATENT NUMBER:

FILING DATE: 10/13/1999
ISSUE DATE:

010536/0577 PAGE 2

MAURICE CARTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-11-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT

101266379

RECORDATION FORM SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Name (line 1) Thomas A. Zdeblick
- Execution Date
Month Day Year
 11 22 99

Second Party

- Name (line 1) Harry N. Herkowitz
- Execution Date
Month Day Year
 11 23 99
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached
- Name (line 1) SDGI Holdings, Inc.
- Name (line 2)
- Address (line 1) 300 Delaware Ave., Suite 508
- Address (line 2)
- Address (line 3) Wilmington DE USA 19801
- City State/Country Zip Code
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

FOR OFFICE USE ONLY

02/10/2000 TTON11 00000223 09417402

01 FC:581

40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0481-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0481-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number **(317) 634-3456**

Name **Douglas A. Collier**

Address (line 1) **Woodard, Emhardt, Naughton, Moriarty & McNett**

Address (line 2) **111 Monument Circle, Suite 3700**

Address (line 3) **Bank One Center/Tower**

Address (line 4) **Indianapolis, Indiana 46204-5137**

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/417,402

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

PCT **09/417,402** PCT **09/417,402** PCT **09/417,402**

only if a U.S. Application Number has not been assigned.

PCT **09/417,402** PCT **09/417,402** PCT **09/417,402**

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

23-3030

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Douglas A. Collier

Name of Person Signing

Douglas A. Collier

Signature

JAN. 5, 2000

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Enter additional Conveying Parties

☐ Mark if additional names of conveying parties attached

Name (line 1)

Dusty Anna Needham

Execution Date
Month Day Year

11 11 99

Name (line 2)

Name (line 1)

Jeffrey Wade Poyner

Execution Date
Month Day Year

11 11 99

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)

Enter additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

☐ Mark if additional numbers attached

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, Dusty Anna Needham, Jeffrey Wade Poyner, Harry N. Herkowitz and Thomas A. Zdeblick, hereinafter referred to as Assignors, whose addresses are 9999 Woodland Fern Drive, Lakeland, Tennessee 38002; 234 Oxford, Atoka, Tennessee 38004; 2700 W. Long Lake Road, West Bloomfield, Michigan 48323 and 4517 Fox Bluff Lane, Middleton, Wisconsin 53562, respectively, have made a new and useful invention entitled **ANTERIOR CERVICAL PLATING SYSTEM AND METHOD**, for which Assignors have made or will make application for Letters Patent of the United States;

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained

therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. 09/417,402 . Filed October 13, 1999) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Inventions in this and all foreign countries for the use of Assignee.

WITNESS Assignors' hands this 22nd day of November, 19 99.

Dusty Anna Needham

Jeffrey Wade Poyner

Harry N. Herkowitz

Thomas A. Zdeblick
Thomas A. Zdeblick

ASSIGNMENT

WHEREAS, Dusty Anna Needham, Jeffrey Wade Poyner, Harry N. Herkowitz and Thomas A. Zdeblick, hereinafter referred to as Assignors, whose addresses are 9999 Woodland Fern Drive, Lakeland, Tennessee 38002; 234 Oxford, Atoka, Tennessee 38004; 2700 W. Long Lake Road, West Bloomfield, Michigan 48323 and 4517 Fox Bluff Lane, Middleton, Wisconsin 53562, respectively, have made a new and useful invention entitled **ANTERIOR CERVICAL PLATING SYSTEM AND METHOD**, for which Assignors have made or will make application for Letters Patent of the United States;

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained

therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. 09/417,402, Filed October 13, 1999) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Inventions in this and all foreign countries for the use of Assignee.

WITNESS Assignors' hands this 23 day of November, 19 99

Dusty Anna Needham

Jeffrey Wade Poyner

Harry N. Herkowitz

Thomas A. Zdeblick

ASSIGNMENT

WHEREAS, Dusty Anna Needham, Jeffrey Wade Poyner, Harry N. Herkowitz and Thomas A. Zdeblick, hereinafter referred to as Assignors, whose addresses are 9999 Woodland Fern Drive, Lakeland, Tennessee 38002; 234 Oxford, Atoka, Tennessee 38004; 2700 W. Long Lake Road, West Bloomfield, Michigan 48323 and 4517 Fox Bluff Lane, Middleton, Wisconsin 53562, respectively, have made a new and useful invention entitled **ANTERIOR CERVICAL PLATING SYSTEM AND METHOD**, for which Assignors have made or will make application for Letters Patent of the United States;

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained

therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. 09/417,402 Filed October 13, 1999) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Inventions in this and all foreign countries for the use of Assignee.

WITNESS Assignors' hands this 11 day of November, 1999.

Dusty Anna Needham
Dusty Anna Needham

Jeffrey Wade Poyner
Jeffrey Wade Poyner

Harry N. Herkowitz

Thomas A. Zdeblick